

**RPC** *first*

REGIONAL PARTNERSHIP COUNCIL

# Regional Coalition Activities: The Value of Partnerships

Sara Alexander

Deputy Director, ChicagoFIRST

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# The Problem



# The Resilient Firm

- **Physical security** focuses on the prevention and mitigation of intentional attacks, as well as the mitigation of other disasters.
- **IT security** does the same thing in the cyber realm.
- **Business continuity/disaster recovery** focuses on continuing operations in the face of all hazards and can help prevent intentional disasters.
- The firm that employs **risk management** addresses all of them and enhances resilience.

# The Resilient System



- But a **resilient firm** is not enough.
- A firm is only as resilient as the **system** in which it operates.
- The experience of the **New York Stock Exchange** in the wake of 9/11 is an example.
- Enhancing the resilience of **critical infrastructure** became a key public policy goal.
- Much is now in place within **sectors** like telecom, power, and finance.

# The Resilient Community



- But a **resilient system** is not enough.
- A resilient system needs a **resilient community**.
- This begins with **emergency management** and the **private sector working together**.

# The Resilient Region



- **Criticality is local** (small community banks).
- **Resilience is regional** (pandemic-Katrina-9/11).
- **Regionalizing Resilience:** While the effects of an event may be local, relationships must be in place to recognize the potential regional economic implications.
  - Disrupting Mississippi River traffic
  - Closing the Chicago financial markets
  - Shutting down the Detroit/Windsor bridge

# The Solution



# Various Models for Addressing Resilience



- **Trade Associations**
  - Promote a line of business
  - Not well-equipped to cross lines of business
  - Can be operational
- **Educational Organizations**
  - Provide training and seminars in a certain discipline
  - Promote certification for a certain discipline
  - Usually not operational
- **Partnerships**
  - Promote public/private collaboration
  - Promote community resilience
  - Operational

# History

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# The Financial Sector Partnership Model

I. National Level

II. Grassroots Level

III. Coordinating Level





# Tier I: National Level Partnership

## Pre-DHS National Public/Private Partnership

Presidential Decision Directive 63 (*May 1998*)

- Protect economy from cyber and physical attacks
- Called for information sharing and analysis centers
- Lead federal agencies identified for each sector

Executive Order 13231: Critical Infrastructure Protection in the Information Age (*October 2001*)

- Established government committees for cyber security

# Tier I: National Level Partnership

A map of the United States with state abbreviations. Orange stars are placed on the following states: WA, OR, CA, NV, AZ, NM, TX, LA, MS, AL, GA, FL, VA, WV, PA, NY, and HI. The text is overlaid on the map.

Financial and Banking Information  
Infrastructure Committee (FBIIC)

*(public sector; formed **January 2002**)*

Financial Services Sector Coordinating  
Council (FSSCC)

*(private sector; formed **June 2002**)*

# DHS National Public/Private Partnership

Homeland Security Presidential Directive 7  
*(December 2003)*

Replicated the financial sector structure

- Established Government Coordinating Councils
- Established Sector Coordinating Councils

# Tier II: The Grassroots Level Partnership



# Private Firms Need to Collaborate with the Public Sector at All Levels

Emergencies raise **regional** and **national** issues:

Do **firms** know.....

- How their local jurisdiction prevents, prepares for, responds to, and recovers from incidents?
- How their local jurisdiction coordinates with the state and federal public safety agencies?
- How to collaborate with federal agencies?

**Business continuity and security plans MUST incorporate public sector plans.**

# The Public Sector at All Levels Needs to Collaborate with Critical Sectors

**National and regional issues arise in all emergencies:**

**Do public agencies know.....**

- The firms that can support their response function?
- The firms that are critical to the region and need to be up and running so that the region, or even the nation, can function?
- What critical firms need to be resilient?

**Public sector plans and approaches MUST account for the resilience of critical sectors.**

# ChicagoFIRST Partnership Model



- Local, regional, and national relationships.
- Private sector members collaborate; even competitors, who see the wisdom of keeping the system up and running.
- Not necessarily tethered to a line of business or a sector.
- Promoting firm resilience by enhancing regional resilience.

# The Model Works

- The ***model*** is the partnership approach, not the goals, structure, or organization of ChicagoFIRST.
- Has been replicated in nearly two dozen regions.
- Some are incorporated; some aren't.
- Some are multi-sector; some aren't.
- **Leverage partnerships to encourage public sector improvements and activities at all levels.**



# Part of National Partnership Structure

## Financial Services Sector Coordinating Council

- ChicagoFIRST is a member of FSSCC , initially representing regional issues and, later, coalitions
- FSSCC addresses BC/DR and security issues.
- ChicagoFIRST has two seats on FSSCC executive committee.
- Platform to give a national voice to regional issues or needs, and to represent **regional coalitions**.


# More Coalitions Follow...

- Following 2003, several additional “FIRSTs” soon began forming throughout the country following this model, but building to best suit their own region (state, county, region, city, etc.)
- ChicagoFIRST has developed strong working relationships with federal agencies and national partnerships, and had been able to get all regional coalitions “a seat at the table” and a voice on the national level.

# Regional Coalitions

- Alabama Recovery Coalition for the Financial Sector
- Alaska Financial Services Coalition for Business Continuity
- ArizonaFIRST
- Arkansas Bankers Coalition for Disasters and Emergencies
- BARC*first* (Bay Area)
- CenCalFIRST
- ChicagoFIRST (February 2003)
- Colorado
- DFW*first* (Dallas/Fort Worth)
- FloridaFIRST (Miami & Tampa)
- HawaiiFIRST
- Louisiana Bankers Emergency Preparedness Coalition
- MemphisFIRST
- Minneapolis (InfraGard Minn.)
- Missouri (ShowMeFIRST)
- NCR*first*
- Nevada
- Financial Recovery Coalition of North Carolina
- Northern Ohio FIRST (2010)
- Philadelphia – Chester County & Montgomery County
- SoCal*first*
- Virginia1st
- WashingtonFIRST



A map of the United States with 24 yellow stars placed on various states, indicating participation in the Tier III: The Coordinating Level Partnership (RPCfirst). The stars are located in WA, OR, CA, AK, HI, AZ, NV, UT, CO, NM, TX, MN, IA, MO, AR, LA, MS, AL, GA, FL, NC, VA, WV, PA, NY, and DC. The text "Tier III: The Coordinating Level Partnership (RPCfirst)" is overlaid on the map in a large, black, sans-serif font.

**Tier III:  
The Coordinating Level Partnership  
(RPCfirst)**

# Regional Partnership Council:

## *RPCfirst*

Formed in 2005

ChicagoFIRST to:

- Foster collaboration among coalitions, sharing lessons learned/best practices.
- Serve as single point of contact for member coalitions.
- Plug into the national public/private partnership.



# Regional Partnership Council:

## ***RPCfirst***

- Coordinate quarterly conference calls and annual in-person meetings.
- Generally funded and staffed by ChicagoFIRST.

[www.rpcfirst.org](http://www.rpcfirst.org)





# Coalition Achievements

- Addressing Regional Coalition issues that can't be solved regionally on the national level (internet congestion, credentialing, etc.)
- Regionalizing FSSCC Goals
  - ChicagoFIRST (as RPC*first*) joined FSSCC in 2004
  - Hurricanes and GETS pilot (2008)
  - Cyber Tabletop-in-a-box (2009)
  - Threat Matrix
  - Crisis Response
- FSSCC Participation in Annual RPC meetings

A map of the United States with stars placed in various states, including WA, OR, CA, AZ, NM, CO, MN, MO, TN, AL, GA, FL, PA, NY, and others. The word "Questions?" is overlaid in large, bold, black, italicized font.

# *Questions?*

**Sara Alexander**

**[sara.alexander@chicagofirst.org](mailto:sara.alexander@chicagofirst.org)**

**312-595-6048**

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